

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10829141	
	Filing Date		2004-04-21	
	First Named Inventor	James C. Kim		
	Art Unit	2815		
	Examiner Name	Joseph H. Nguyen		
	Attorney Docket Number	LUM-03-06-06		

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1	ARPAN CHAKRABORTY et al., "Nonpolar InGa <sub>N</sub> /Ga <sub>N</sub> emitters on reduced-defect lateral epitaxially overgrown a-plane Ga <sub>N</sub> with drive-current-independent electroluminescence emission peak," Applied Physics Letters, Vol. 85, No. 22, 29 November 2004, pp. 5143-5145.	<input type="checkbox"/>
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STATEMENT BY APPLICANT**  
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Rachel V Leiterman/	Date (YYYY-MM-DD)	2007-03-30
Name/Print	Rachel V. Leiterman	Registration Number	46868

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